This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

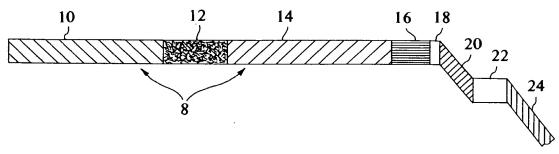
Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

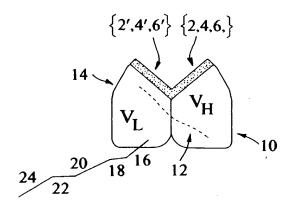
As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

SINGLE CHAIN BINDING POLYPEPTIDE



Extended Polypeptide

FIG. 1(a)



Folded Protein

FIG. 1(b)

SINGLE CHAIN BINDING POLYPEPTIDE SHOWING LOCATIONS OF COMPLEMENTARITY DETERMINING REGIONS, POLYPEPTIDE SPACER REGIONS, AND EFFECTOR REGIONS

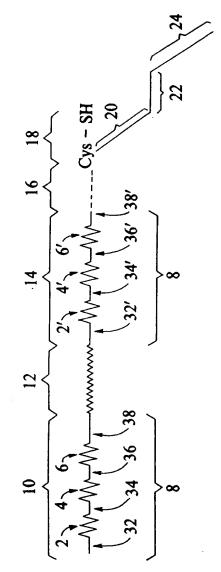


FIG. 2

den hal hen for the ter last to

AMINO ACID SEQUENCE

(N-terminus to C-terminus)

-OVOLLOSGAELKKPGESLKISCKGSGYSFTSYWIAWVROMPGKGLEYMGI IYPGDSDTKYSPSFQGQVTISVDKSVSTAYLQWSSLKPSDSAVYFCARHD GGGSQSVLTQPPSVSAAPGQKVTISCSGSSSNIGNNYVSWYQQLPGTAPK LLIYGHTNRPAGVPDRFSGSKSGTSASLAISGFRSEDEADYYCAAWDDSL VGYCSSSNCAKWPEYFOHWGQGTLVTVSSGGGGGGGG SGWVFGGGTKLTVLG

NUCLEOTIDE SEQUENCE

 ${ t gcagctccaacattgggaataattatgtacctggtaccagcagctcccaggaacagcccccaaactcctcatctatggtcacacca}$ agtctgaagccctcggacagcgccgtgtattttgtgcgagacatgacgtgggatattgcagtagttccaactgcgcaaagtggccgctttaccagctactggatcgcctgggtgcgccagatgcccgggaaaggcctggagtacatggggctcatctatcctggtgactc atcggcccgcaggggtccctgaccgattctggctccaagtctggcacctcagcctcctggccatcagtgggttccggtccga ${\tt tgacaccaaata}$ 5'caggtgcagctgttgcagtctggggcagagttgaaaaacccggggagtctctgaagatctcctgtaagggttctggataca ${\tt gtggcggatcgcagtctgtgttgacgcagccgcctcagtgtctgcggccccaggacagaaggtcaccatctcctgctctggaa}$ ${\tt ggatgaggctgattattactgtgcagcatgggatgacagcctgagtggttgggtgttcggcggaggagccaagctgaccgtcct}$ tgaatacttccagcattggggccagggcaccctggtcaccgtctcctcaggtggaggcggttcaggcggaggtggctctggcg

3

aggt

C6ML3-9 sFv' AMINO ACID SEQUENCE

(N-terminus to C-terminus)

-QVQLVQSGAEVKKPGESLKISCKGSGYSFTSYWIAWVRQMPGKGLEYMGL IYPGDSDTKYSPSFQGQVTISVDKSVSTAYLQWSSLKPSDSAVYFCARHD VGYCSSSNCAKWPEYFQHWGQGTLVTVSSGGGGGGGGG GGGSQSVLTQPPSVSAAPGQKVTISCSGSSSNIGNNYVSWYQQLPGTAPK LLIYDHTNRPAGVPDRFSGSKSGTSASLAISGFRSEDEADYYCASWDYTL SGWVFGCGTKLTVLGAAAHHHHHGGGGC-

FIG. 5

C6ML3-9 sFv' NUCLEOTIDE SEQUENCE

5'caggtgcagctggtgcagtctgggggcagaggtgaaaaagcccgggggagtctctgaagatctcctgtaagggttctggata cagctttaccagctactggatcgcctgggtgcgccagatgcccgggaaaggcctggagtacatggggctcatctatcctg gtgactctgacaccaaatacagcccgtccttccaaggccaggtcaccatctcagtcgacaagtccgtcagcactgcctac ttgcaatggagcagtctgaagccctcggacagcgccgtgtatttttgtgcgagacatgacgtgggatattgcagtagttc ${\tt caactgcgcaaagtggcctgaatacttccagcattggggccagggcaccctggtcaccgtctcctcaggtggaggcggtt}$ caggcggaggtggctctggcggtggcggatcgcagtctgtgttgacgcagccgcctcagtgtctgcggcccaggacag aaggtcaccatctcctgctctggaagcagctccaacattgggaataattatgtatcctggtaccagcagctcccaggaac agcccccaaactcctcatctatgatcacaccaatcggcccgcaggggtccctgaccgattctctggctccaagtctggca ${\tt cctcagcctccctggccatcagtgggttccggtccgaggatgaggctgattattactgtgcctcctgggactacaccctc}$ tegggetgggtgtteggeggaageaagetgacegtectaggtgeggeegeaeaeeateateaceateaeggtggtgg

FIG. 6

cggctgc

C6ML3-9sfv'-L1-KDEL AMINO ACID SEQUENCE

(N-terminus to C-terminus)

-QVQLVQSGAEVKKPGESLKISCKGSGYSFTSYWIAWVRQMPGKGLEYMGL IYPGDSDTKYSPSFQGQVTISVDKSVSTAYLQWSSLKPSDSAVYFCARHD VGYCSSSNCAKWPEYFQHWGQGTLVTVSSGGGGSGGGGSG GGGSQSVLTQPPSVSAAPGQKVTISCSGSSSNIGNNYVSWYQQLPGTAPK LLIYDHTNRPAGVPDRFSGSKSGTSASLAISGFRSEDEADYYCASWDYTL SGWVFGGGTKLTVLGAAAHHHHHGGGGCL**ESSSSGSEKDEL**

C6ML3-9 sFv'-L1-KDEL NUCLEOTIDE SEQUENCE caggtgcagctggtgcagtctgggggcagaggtgaaaaagcccggggagtctctgaagatctcctgtaagggttctggata caggeggaggtggetetggeggtggeggategeagtetgtgttgaegeageegeeteagtgtetgeggeeeeaggaeag ${\tt tcgggctgggtgttcggcggaggaaccaagctgaccgtcctaggtgcggccgcacaccatcatcaccatcacggtggtgg}$ ${\tt cagcittaccagctactggatcgcctgggtgcgccagatgcccgggaaaggcctggagtacatggggctcatctatcctg}$ ${\tt gtgactctgacaccaaatacagcccgtccttccaaggccaggtcaccatctcagtcgacaagtccgtcagcactgcctac}$ ttgcaatggagcagtctgaagccctcggacagcgccgtgtatttttgtgcgagacatgacgtgggatattgcagtagttc $\verb"caactgcgcaaagtggcctgaatacttccagcattggggccagggcaccttggtcaccgtctcctcaggtggaggcggtt$ aaggtcaccatctcctgctctggaagcagctccaacattgggaataattatgtatcctggtaccagcagctcccaggaac agccccaaactcctcatctatgatcacaccaatcggcccgcaggggtccctgaccgattctctggctccaagtctggcacctcagcctccctggccatcagtgggttccggtccgaggatgaggctgattattactgtgcctcctgggactacaccctc m cggctgcctcgagtcctctagctctggatccgaaaaagatgaactg 5

C6ML3-9 sFv' -L2-KDEL AMINO ACID SEQUENCE

(N-terminus to C-terminus)

-QVQLVQSGAEVKKPGESLKISCKGSGYSFTSYWIAWVRQMPGKGLEYMGL
IYPGDSDTKYSPSFQGQVTISVDKSVSTAYLQWSSLKPSDSAVYFCARHD
VGYCSSSNCAKWPEYFQHWGQGTLVTVSSGGGSGGGGGG
GGGSQSVLTQPPSVSAAPGQKVTISCSGSSSNIGNNYVSWYQQLPGTAPK
LLIYDHTNRPAGVPDRFSGSKSGTSASLAISGFRSEDEADYYCASWDYTL
SGWVFGGGTKLTVLGAAAHHHHHGGGGCLESSSGSSSGSSEKDEL-

C6ML3-9sfv'-L2-KEDL NUCLEOTIDE SEQUENCE

caggtgcagctggtgcagtctgggggcagaggtgaaaaagcccgggggagtctctgaagatctcctgtaagggttctggata agccccaaactcctcatctatgatcacaccaatcggcccgcaggggtccctgaccgattctctggctccaagtctggca ${\tt tcgggctgggtgttcggcggaggaaccaagctgaccgtcctaggtgcggccgcacaccatcatcaccatcacggtggtgg}$ caggeggaggtggetetggeggtggeggategeagtetgtgttgaegeageegeeteagtgtetgeggeeeeaggaeag aaggtcaccatctcctgctctggaagcagctccaacattgggaataattạtgtatcctggtaccagcagctcccaggaac cctcagcctccctggccatcagtgggttccggtccgaggatgaggctgattattactgtgcctcctgggactacaccctc cagcttaccagctactggatcgcctgggtgcgccagatgcccgggaaaggcctggagtacatggggctcatctatcctg ${\tt gtgactctgacaccaaatacagcccgtccttccaaggccaggtcaccatctcagtcgacaagtccgtcagcactgcctac}$ $\verb"caactgccaaagtggcctgaatacttccagcattggggccagggcaccttggtcaccgtctcctcaggtggaggcggtt$ ${\tt ttgcaatggagcagtctgaagccctcggacagcgccgtgtattttgtgcgagacatgacgtgggatattgcagtagttc}$ cggctgcctcgagtctagcagctccggttcctctagctctggatccgaaaaagatgaactg

C6ML3-9 sFv'-L2-H14 AMINO ACID SEQUENCE

(N-terminus to C-terminus)

-QVQLVQSGAEVKKPGESLKISCKGSGYSFTSYWIAWVRQMPGKGLEYMGL
IYPGDSDTKYSPSFGQVTISVDKSVSTAYLQWSSLKPSDSAVYFCARHD
VGYCSSSNCAKWPEYFQHWGQGTLVTVSSGGGGSGGGGSG
GGGSQSVLTQPPSVSAAPGQKVTISCSGSSSNIGNNYVSWYQQLPGTAPK
LLIYDHTNRPAGVPDRFSGSKSGTSASLAISGFRSEDEADYYCASWDYTL
SGWVFGGTKLTVLGAAAHHHHHGGGGCLESSSSGSSSS

GSKKSAKKTPKKAKKP-

FIG. 11

C6ML3-9 sFv' -L2-H14 NUCLEOTIDE SEQUENCE

caggtgcagctggtgcagtctggggcagaggtgaaaaagcccgggggagtctctgaagatctcctgtaagggttctggata agccccaaactcctcatctatgatcacaccaatcggcccgcaggggtccctgaccgattctctggctcccaagtctggca ${\tt cctcagcctccctggccatcagtgggttccggtccgaggatgaggctgattattactgtgcctcctgggactacacctc}$ caggoggaggtggototggcggtggcggatcgcagtctgtgttgacgcagccgccctcagtgtctgcggccccaggacag aaggtcaccatctcctgctctggaagcagctccaacattgggaataattatgtatcctggtaccagcagctcccaggaac ${\tt tcgggctgggtgttcggcggaaaccaagctgaccgtcctaggtgcggccgcacaccatcatcaccatcacggtggtgg}$ ${\tt cagctttaccagctactggatcgcctgggtgcgccagatgcccgggaaaggcctggagtacatggggctcatctatcctg}$ $\tt ttgcaatggagcagtctgaagccctcggacagcgccgtgtattttgtgcgagacatgacgtgggatattgcagtagttc$ ${\tt caactgc} gcaaagtggcctgaatacttccagcattggggccagggcacctggtcaccgtctcctcaggtggaggcggtt$ cggctgcctcgagtctagcagctccggttcctctagctctggatccaagaaaagcgcgaaaaagaccccgaagaaag

cgaagaaaccg 3'

<u>-1</u>G. 12

C6ML3-9sFv'-L2-nls AMINO ACID SEQUENCE

(N-terminus to C-terminus)

-QVQLVQSGAEVKKPGESLKISCKGSGYSFTSYWIAWVRQMPGKGLEYMGL
IYPGDSDTKYSPSFQQVTISVDKSVSTAYLQWSSLKPSDSAVYFCARHD
VGYCSSSNCAKWPEYFQHWGQGTLVTVSSGGGGSGGGGSG
GGGSQSVLTQPPSVSAAPGQKVTISCSGSSSNIGNNYVSWYQQLPGTAPK
LLIYDHTNRPAGVPDRFSGSKSGTSASLAISGFRSEDEADYYCASWDYTL
SGWVFGGGTKLTVLGAAAHHHHHGGGGCLESSSSGSSS

FIG. 13

C6ML3-9 sFv'-L2-nls NUCLEOTIDE SEQUENCE

caggtgcagctggtgcagtctggggcagaggtgaaaaagcccgggggagtctctgaagatctcctgtaagggttctggata ${\tt cagctttaccagctactggatcgcctgggtgcgccagatgcccgggaaaggcctggagtacatggggctcatctatcctg}$ ${\tt gtgactctgacaccaaatacagcccgtccttccaaggccaggtcaccatctcagtcgacaagtccgtcagcactgcctac}$ caggeggaggtggetetggeggtggeggategeagtetgtgttgaegeegeegeeteagtgtetgeggeeeeaggaeag ${ t t}$ gcaa ${ t t}$ ggaggaggccctcggacagcgccgtgtatttttgtgcgagacatgacgtgggatattgcagtagttc ${\tt caactgcgcaaagtggcctgaatacttccagcattggggccagggcaccctggtcaccgtctcctcaggtggaggcggtt}$ aaggtcaccatctcctgctctggaagcagctccaacattgggaataattatgtatcctggtaccagcagctcccaggaac ${\tt agccccaaactcctcatctatgatcacaccaatcggcccgcaggggtccctgaccgattctctggctccaagtctggca}$ ${\tt cctcagcctccctggccatcagtgggttccggtccgaggatgaggctgattattactgtgcctcctgggactacaccctc}$ ${\tt tcgggctgggtgtgttcggcggaggaaccaagctgaccgtcctaggtgcggccgcacaccatcaccatcacggtggtgg}$ ${\tt cggctgcctcgagtctagcagctccggttcctcagctctggatccactccgccgaaaaagaaacgtaaagtg}$

C6ML3-9 sFv' and its salmon protamine conjugate binds specifically to the erbB-2 positive ovarian cancer cells

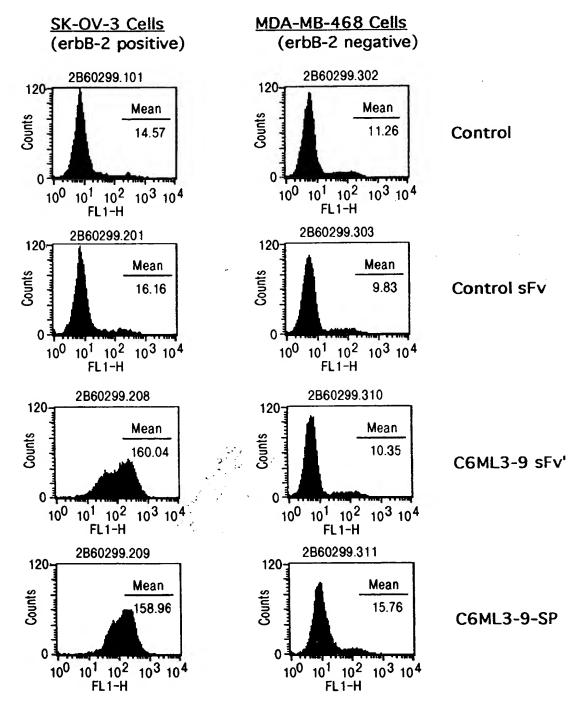


FIG. 15

10/18 FACS Analysis of the erbB-2 Binding Activities of Bacterially Expressed C6ML3-9 sFv' and its Derivatives

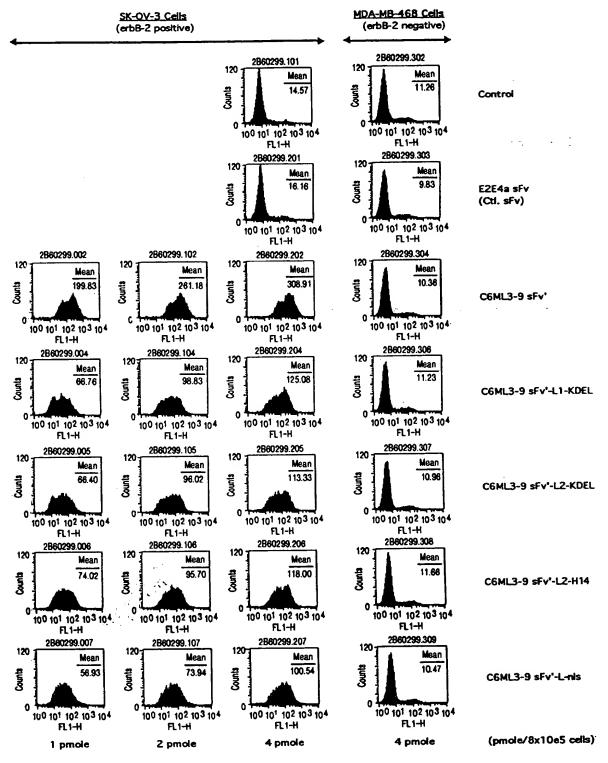
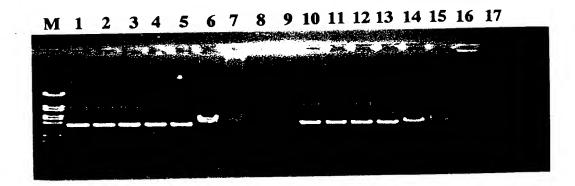


FIG. 16

A STATE OF THE PARTY OF THE PAR

والمراب والمناولين والمناسب والمواد المناطقة والمناولة والمناولة والمناطقة والمناطقة والمناطقة والمناطقة

Gel Shift Analysis of the C6.5-SP-DNA and C6ML3-9-SP-DNA Complex



- M. DNA marker λ DNA BstEII digest
- 200 ng pGL3 DNA 1.
- 200 ng pGL3 DNA + 1.45 pmol C6.5 2.
- 200 ng pGL3 DNA + 2.90 pmol C6.5 3.
- 200 ng pGL3 DNA + 5.80 pmol C6.5 4.
- 200 ng pGL3 DNA + 11.6 pmol C6.5
- 200 ng pGL3 DNA + 1.45 pmol C6.5-SP
- 200 ng pGL3 DNA + 2.90 pmol C6.5-SP 7.
- 200 ng pGL3 DNA + 5.80 pmol C6.5-SP 8.
- 200 ng pGL3 DNA + 11.6 pmol C6.5-SP
- 200 ng pGL3 DNA + 1.45 pmol C6ML3-9
- 11/ 200 ng pGL3 DNA + 2.90 pmol C6ML3-9
- 200 ng pGL3 DNA + 5.80 pmol C6ML3-9
- 13. 200 ng pGL3 DNA + 11.6 pmol C6ML3-9
- 14. 200 ng pGL3 DNA + 1.45 pmol C6ML3-9-SP
- 15. 200 ng pGL3 DNA + 2.90 pmol C6ML3-9-SP 16. 200 ng pGL3 DNA + 5.80 pmol C6ML3-9-SP
- 17. 200 ng pGL3 DNA + 11.6 pmol C6ML3-9-SP
- *0.8% agarose gel in 1xTAE, 150v, RT, ~1hr, EtBr staining overnight

Kinetic Study of the C6.5-SP-DNA and C6ML3-9-SP-DNA Complex Formation

M C 5' 10' 20' 40' 60' 5' 10' 20' 40' 60' 5' 10' 20' 40' 60' (Time)



- M. DNA marker λ DNA BstEII digest
- C. 200 ng pGL3 DNA alone
- * The rest of the lanes 200 ng pGL3 DNA incubated with 5.8 pmol proteins as indicated above each line, on ice, for different period of time. Electrophoresis condition same as Figure 17.

The C6ML3-9-SP conjugate protein mediates specific luciferase gene delivery to erbB-2 positive cancer cells

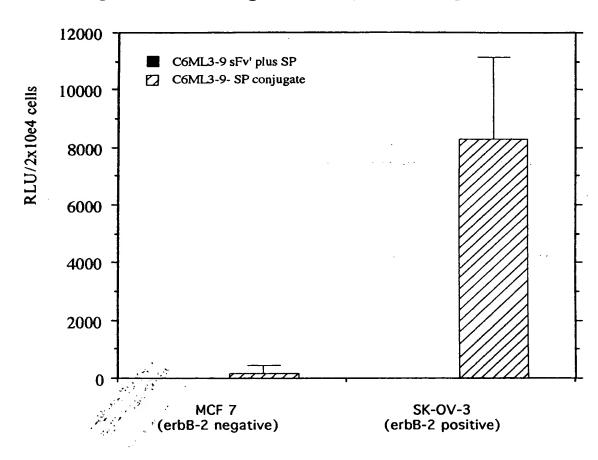
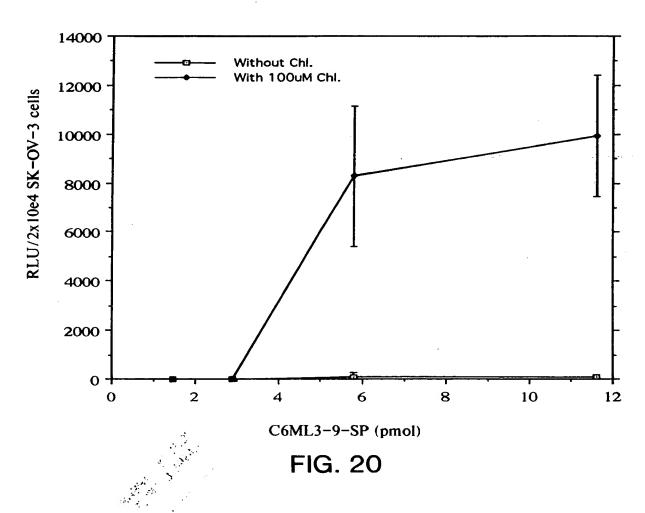


FIG. 19

Chloroquine-dependent C6ML3-9-SP-mediated Gene Delivery



15/18

Fluorescent microscopy of C6.5-SP and C6ML3-9 -SP-mediated gene transfer of pGeneGrip Rhodamine/GFP plasmids with SK-OV-3 and MCF-7

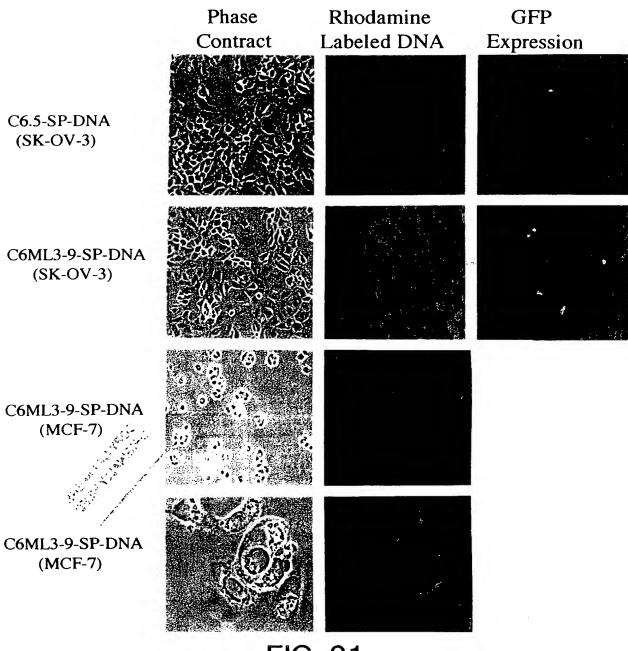
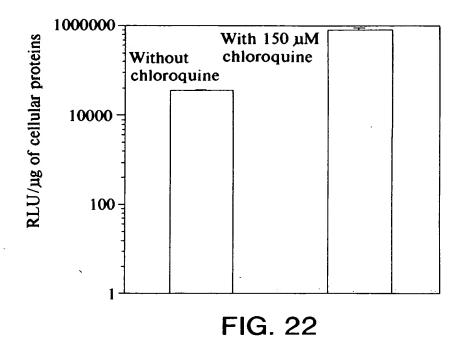


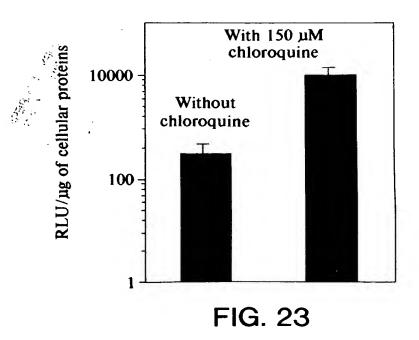
FIG. 21

16/18

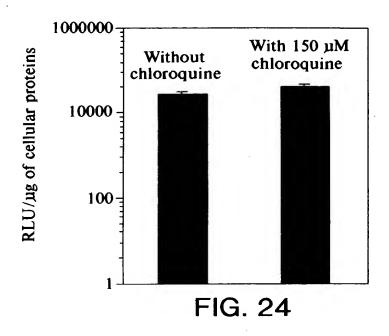
THE EFFECT OF CHLOROQUINE ON 3T3-HER2 TRANSFECTION MEDIATED BY C6ML3-9sFv'-SALMON PROTAMINE



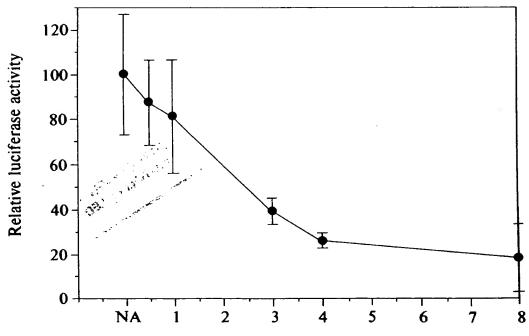
THE EFFECT OF CHLOROQUINE ON 3T3-HER2 TRANSFECTION MEDIATED BY C6ML3-9sFv'#2-P1



17/18
THE EFFECT OF CHLOROQUINE ON 3T3-HER2
TRANSFECTION MEDIATED BY C6ML3-9sFv'#2-H1



THE EFFECT OF C6ML3-9sFv'-H1-pBks ON 3T3-HER2 TRANSFECTION MEDIATED BY C6ML3-9sFv'-H1



Mass ratio (C6ml3.9H1 bound to pBKS/C6ml3.9H1 bound to pXL3031)

FIG. 25

Strike of the st

THE EFFECT OF THE DNA TO C6ML3-9sFv'-H1 RATIO ON 3T3-HER2 TRANSFECTION EFFICIENCY

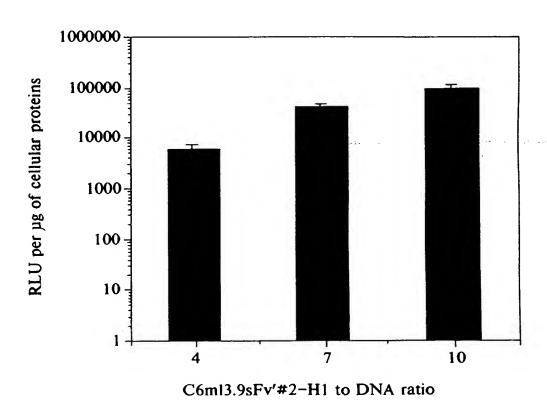


FIG. 26